

REQUEST FOR PROPOSALS

**For Engineering, Procurement and Major Salvage Operations
Management Services for the Hartford Resource Recovery Facility,
Jet Turbine Facility and South Meadows Site**

(RFP Number 25-AUTH-002)

MIRA Dissolution Authority
300 Maxim Road
Hartford, Connecticut 06114

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I. Request for Proposals:

A. Background

The MIRA Dissolution Authority (“the Authority”) was created by an act of the State of Connecticut Legislature (Public Act 23-170) effective July 1, 2023. As a result of this legislation, the Authority replaced the existing Materials Innovation and Recycling Authority (MIRA). The Authority assumed ownership and control of all of MIRA’s assets, as well as MIRA’s statutory duties and responsibilities, and it continues to operate MIRA’s ongoing waste transfer operations until acceptable alternatives become available. The Authority has been charged with supplementary duties and responsibilities related to MIRA’s dissolution.

The Authority is the owner of an approximate 80 acre site encompassing the now shuttered MIRA Resource Recovery Facility and Jet Turbine Facility located in the South Meadows section of Hartford, CT and more specifically identified as 300 Maxim Road and 100 Reserve Road in Hartford (the “South Meadows Site” or “Site”). Attachment A is a Site plan generally depicting the Site and these facilities.

The Authority’s ownership and control of the Site extends to all machinery and equipment acquired and installed in support of operations conducted on the South Meadows Site including, but not limited to, motors, compressors, pumps, cranes and hoists, conveyors, shredders, picking stations, steam and jet turbines, bag houses, fueling facilities and other equipment, examples of which are depicted on Attachment B (“Installed Equipment”).

The Authority’s responsibility to wind down MIRA’s operations and activities in an orderly and responsible manner includes the marketing and sale of its surplus Installed Equipment. Accordingly, concurrent with the issuance of this RFP for Engineering, Procurement and Major Salvage Operations Management Services, the Authority is soliciting proposals for Marketing, Brokerage, Sale and Removal of Available Equipment and Installed Equipment Located at the Site (“Equipment Brokerage Contractor”). The Authority will further solicit proposals for the Removal and Recycling of Designated Scrap Metals from the Site upon completion of the Salvage Equipment List defined in Section I.B of this RFP (“Metals Recycling Contractor”). Additional information on the Authority’s creation and the MIRA dissolution process can be found at <https://www.ctmira.org/>.

Pursuant to this RFP, the Authority seeks proposals from engineering / demolition / construction management firms to provide Engineering, Procurement and Major Salvage Operations Management Services for the Hartford Resource Recovery Facility, Jet Turbine Facility and South Meadows Site (“Demolition and Salvage Contractor”).

The selected Demolition and Salvage Contractor will be expected to work with the Authority’s Equipment Brokerage Contractor and Metals Recycling Contractor to facilitate the sale and removal of non-structural Installed Equipment from the Site pursuant to the Scope of Work outlined herein.

The Authority’s objective in issuing this RFP for the Demolition and Salvage Contractor, and the additional RFPs for the Equipment Brokerage Contractor and Metals Recycling

Contractor, is to establish a timely and efficient process, that maximizes revenue and minimizes costs, associated with the removal, sale or recycling of all machinery and equipment acquired and installed in support of operations conducted at the South Meadows Site. Consistent with this objective, Proposers are free to propose on any or all of the referenced RFPs, or to propose alternative approaches to accomplishing this objective.

Demolition and Salvage Contractor Proposals that are conditioned on award of Equipment Brokerage and/or Metals Recycling contracts should be specified as such in the Proposal Cover Letter noted in Section I.G.1 of this RFP. Alternative approaches may be specified in the Cover Letter as well. Such conditions and alternatives may be further specified through Business Exceptions as noted in Section I.G.7 of this RFP. Such conditions and alternatives should be specified in adequate detail to enable the Authority to determine if they are in its best interest considering the above stated objective. All proposals are expected to fully address the Scope of Work provided in Section I.B and the Proposal Contents required in Section I.G of this RFP.

Proposers are further advised that, as part of the MIRA Dissolution process highlighted above, the Authority is charged with identifying the immediate environmental needs and knowledge necessary for future redevelopment of the South Meadows Site, and with engaging representatives of the city of Hartford and other stakeholders, as appropriate, with respect to the future of the Site. Accordingly, this RFP is not intended to result in a conveyance of the real property constituting the Site or a redevelopment of the Site.

B. Scope of Work

The selected Proposer will be expected to mobilize on Site with all personnel, supplies and equipment necessary to serve as the Authority's Demolition and Salvage Contractor as defined below:

- Review available plans and specifications for the Resource Recovery Facility and Jet Turbine Facility to identify non-structural installed machinery and equipment that may be safely removed and/or disassembled for resale or scrap by the Authority's Equipment Brokerage Contractor or Metals Recycling Contractor;
- Review the Authority's draft "Closure Plan" for the Resource Recovery Facility, and associated correspondence, to ensure that the resale or scrapping of installed machinery and equipment is conducted in accordance therewith;
- Review findings of the Authority's Hazardous Building Materials Survey for purposes of excluding metals not suitable for recycling from further consideration;
- Physically inspect the Resource Recovery Facility, Jet Turbine Facility and Site to confirm non-structural installed machinery and equipment that may be safely removed and/or disassembled for resale or scrap by the Authority's Equipment Brokerage Contractor or Metals Recycling Contractor;
- Coordinate with the Authority and its Equipment Brokerage Contractor in the designation of machinery and equipment intended for resale or scrapping;
- Present findings of the review of plans and specifications and physical inspection including recommendations to the Authority for installed machinery and equipment that

may be safely removed and designated for resale or scrap (the “Salvage Equipment List”);

- Upon approval of the Salvage Equipment List by the Authority, develop deconstruction / disassembly plans and specifications suitable for the bidding and award of demolition, disassembly and/or related work as appropriate to the intended resale or scrap of specific items as determined by the Authority. Such plans and specifications may be subjected to third party environmental review by the Authority;
- Conduct competitive procurement activities pursuant to procedures approved by the Authority providing for the hiring of sub-contractors to undertake such demolition, disassembly and/or related work consistent with approved plans and specifications and the requirements of the Equipment Brokerage Contractor or Metals Recycling Contractor;
- Provide, and ensure all subcontractors provide, all personnel working at the Site with all appropriate protective equipment apparel and safety training in accordance with all applicable State and Federal laws and regulations.
- Supervise and oversee the conduct of all demolition, disassembly and/or related work;
- Coordinate with the Authority’s Equipment Brokerage Contractor, Metals Recycling Contractor and demolition / disassembly subcontractors in the organization and consolidation to staging areas of machinery and equipment designated for resale or scrap;
- Provide for all required administrative support services and activities including documentation of sub-contracts and sub-contractor activity, review and approval of sub-contractor invoices prior to submission to the Authority, human resource, payroll and benefits administration for Demolition and Salvage Contractor personnel supporting salvage operations at the South Meadows Site and preparing Authority billings for services rendered;
- The Authority anticipates payment of hourly rates subject to an agreed “Not to Exceed” amount for preparation of the Salvage Equipment List, a management fee and/or direct cost reimbursement for on-Site personnel and sub-contractor activity.

C. RFP Projected Timeline

The following is the projected timeline for the RFP process:

ITEM	DATE
RFP Documents Available	October 25, 2024
Site tour	9:00 am Thursday November 7, 2024
Deadline for proposers to submit Written Questions	3:00 pm Thursday November 14, 2024
Authority Response to submitted Questions	3:00 pm Thursday November 21, 2024
Proposals Due at The Authority	3:00 pm Tuesday November 26, 2024
Proposal evaluation including clarifications, interviews and negotiations	November 26, 2024 to December 10, 2024
Approval by MIRA Dissolution Authority Board of Directors	December 11, 2024

D. Notice of Interest

Proposers should express their interest in this RFP by providing their contact information in writing to the Authority as soon as possible following publication of this RFP. This may be done by writing or e-mailing the Authority’s Supply Chain Manager as follows:

**Mr. Roger Guzowski
Supply Chain Manager
MIRA Dissolution Authority
Phone (860) 757-7703
rguzowski@ctmira.org
300 Maxim Road
Hartford, CT 06114**

The required contact information includes Proposer’s full legal name and address and the name, title, telephone number and e-mail address of the Proposer’s contact person. While not mandatory, the Authority will use potential Proposer’s contact information to provide direct notice of availability, and copies where applicable, of addenda and other information related to this RFP.

E. Availability of RFP

Complete sets of this RFP and all Attachments may be obtained on the World Wide Web at <http://www.ctmira.org> on the “Current Solicitations” page, under the “Business Links” section of the website. The RFP and Attachments can be accessed by selecting the link titled: RFP: “ENGINEERING, PROCUREMENT AND MAJOR SALVAGE OPERATIONS MANAGEMENT SERVICES FOR THE HARTFORD RESOURCE RECOVERY FACILITY, JET TURBINE FACILITY AND SOUTH MEADOWS SITE”.

The RFP and all Attachments and forms are in PDF format. Applicable forms are also available for downloading in Microsoft Word format for ease of completion at the same place on the Authority’s web site where the PDF of the RFP is located. The Authority encourages firms to make use of the downloaded Word forms.

The RFP, Attachments and forms are also available Monday through Friday from 8:30 a.m. to 4:30 p.m. at the Authority’s offices, 300 Maxim Road, Hartford, Connecticut 06114. Anyone intending to pick up the documents at the Authority’s offices must contact Roger Guzowski at (860) 757-7703 at least 24 hours in advance. There is a charge of \$30.00 for anyone picking up the documents at the Authority’s office. Payment should be made by check payable to “MIRA Dissolution Authority.”

F. Site Tour

The Authority has scheduled a tour of the South Meadows Site for Thursday October 24, 2024, (beginning at 8:00 AM). The Authority believes attendance at the Site tour is beneficial to the development of complete and accurate Proposals and Proposers are strongly encouraged to attend. Authority representatives will identify and show Sample Equipment identified on Attachment B to all Proposers during the Site tour. Authority representatives will also identify and show available office support space. Additional information concerning the Site tour will be provided to all Proposers expressing interest in this RFP approximately forty-eight hours prior to the tour by Addenda issued pursuant to Section III.E of this RFP.

The Authority reserves the right to schedule additional times for a Site tour prior to the deadline for written questions at its discretion if the Authority considers such additional tours to be in its best interests. Such additional Site tours may include, but are not limited to, further examination of equipment of specific interest to determine appropriate Proposal terms.

G. Proposal Contents

All Proposals shall be structured to include the following (in the order presented):

1. Title page, including the title of this RFP, the name of the Proposer and the date the proposal is submitted;
2. Cover letter, signed by a person authorized to execute the Proposal and Agreement on behalf of the Proposer, which includes the following:
 - The name of the Proposer;
 - The legal structure of the Proposer (e.g., corporation, joint venture, etc.);
 - Summary of Proposer and key staff relevant background and experience;
 - Summarize key aspects of the proposal including approach to developing the Salvage Equipment List, implementing and overseeing major salvage operations;
 - A clear statement indicating that the proposal constitutes a firm and binding offer by the Proposer to the Authority considering the terms and conditions outlined in the RFP;
 - The Proposer's promise, if any, to set aside a portion of the contract for legitimate minority business enterprises.
3. Table of Contents for the proposal;
4. Background and Experience Narrative including the following:
 - General description of the Proposer including the number of years in the business of providing services similar to the Engineering, Procurement and Major Salvage Operations Management Services described in this RFP including:
 - Proposer's and parent company (if any) legal name, state and year in which organized, and structure (corporation, partnership, joint venture, other);
 - Central / headquarters office address;
 - Address of local office serving the Authority;
 - Summary of additional office locations (if any);
 - Number of employees of Proposer and parent company (if any);
 - Proposed sub-consultants or engineers (if any).
 - For up to five projects similar to its Proposal, identify the following:
 - Project identification including site name, location and project owner and/or governing body;
 - Description of project site including facilities and equipment involved;

- Nature of the services provided and relevance to the services requested in this RFP;
- Reference name, title, address, e-mail and phone contact information.

5. Project Approach and Schedule

The Authority's objective is to develop the Salvage Equipment List and implement the major salvage operations described in this RFP in a timely and efficient manner while maximizing net revenue from the sale or scrap of salvaged equipment to the Authority. Proposer's Project Approach and Schedule should address the following accordingly:

- Indicate the proposed period of time from execution of an Agreement to i) availability of all personnel, supplies and equipment needed to mobilize on Site for purposes of developing the Salvage Equipment List, and ii) availability of all personnel, supplies and equipment needed to commence major salvage operations;
- Describe Proposer's approach and schedule to develop the Salvage Equipment List;
- Describe Proposer's approach and schedule to develop deconstruction / disassembly plans and specifications suitable for the bidding and award of demolition, disassembly and/or related work;
- Describe Proposer's staffing plan for on-Site personnel required to timely and efficiently implement Major Salvage Operations;
- Describe Proposer's approach and schedule to competitively bid and award demolition / disassembly work to sub-contractors;
- Describe Proposer's approach to coordinating activities with the Authority and its Equipment Brokerage Contractor and Metals Recycling Contractor to ensure timely sale or scrap of salvaged equipment consistent with the Authority's objectives for this RFP;
- Describe Proposer's approach to project administration. Note the proposed Agreement included as Attachment C includes provisions related to subcontracting and procurement.

6. Proposal and Price Forms

Complete and attach the Proposal Form attached hereto as **Attachment D**.

Describe all fees and expenses to be paid by the Authority in the performance of the scope of work using the Proposal Price Form attached hereto as **Attachment E**. The Proposal Price Form must specifically include:

- Hourly billing rates and "Not to Exceed" cost to develop the Salvage Equipment List;
- Reimbursable salary and benefits associated with Proposer's on-Site personnel;

- A budget for reimbursable other expenses associated with Proposer’s on-Site personnel for such items as office trailers (if available support space is not suitable) protective equipment and office supplies;
- Proposed hourly fees and reimbursable costs to develop plans and specifications for the demolition / disassembly of items included on the Salvage Equipment List (if not included in on-Site personnel reimbursable salary and benefits).
- Any proposed Management Fee for corporate oversight of the project.
- The Authority anticipates that demolition / disassembly sub-contracting will be reimbursed at cost without mark-up.

7. Business Exceptions

Identify any exceptions, additions or deletions to the provisions of the proposed Agreement included as **Attachment C**. In each instance, identify the applicable agreement section, schedule or attachment number and specific language of concern. State the reason for concern and proposed modification to resolve the concern. Specify why the proposed modification is in the Authority’s best interest and assists in accomplishing the objectives of this RFP.

8. The completed Background Questionnaire attached hereto as **Attachment F** for Proposer and each proposed Sub Consultant (subscribed and sworn before a Notary Public or Commissioner of the Superior Court);
9. The completed Questionnaire Concerning Affirmative Action, Small Business Contractors And Occupational Health And Safety attached hereto as **Attachment G** with the proposer’s most recent EEO-1 data attached if the proposer wishes such data to be considered in the evaluation of its proposal;
10. A copy of the Proposer’s up-to-date certificate of insurance showing all current insurance coverage.

Proposers should not include copies of text from this RFP in their proposals. Proposers should not include information that is not directly related to the subject matter of this solicitation.

H. Proposal Submittal Procedures

Sealed proposals shall be submitted as per the schedule set forth in Section I.B of this RFP to the offices of the MIRA Dissolution Authority, 300 Maxim Road, Hartford, Connecticut 06114, Attn: Roger Guzowski. The Authority reserves the right to reject any proposals received after the time and date set forth above.

Each Proposer must submit one (1) original and two (2) hard copies, and one electronic copy, of its proposal in a sealed envelope that shall be clearly marked “PROPOSAL FOR ENGINEERING, PROCUREMENT AND MAJOR SALVAGE OPERATIONS

MANAGEMENT SERVICES FOR THE HARTFORD RESOURCE RECOVERY FACILITY, JET TURBINE FACILITY AND SOUTH MEADOWS SITE” The original proposal shall be stamped or otherwise marked as such.

Unless otherwise identified by Proposer pursuant to Section I.F.7 hereof, the terms and conditions of the Agreement are non-negotiable. The Authority will review and consider any Business Exceptions taken by Proposer as part of its proposal evaluations.

I. Proposal Opening

All proposals will be opened at the Authority’s convenience on or after the proposal due date. The Authority reserves the right to accept or reject any or all of the proposals, or any part(s) thereof, and/or to waive any formality or informalities in any proposal or this RFP process.

J. Proposal Open and Subject to Acceptance

This RFP does not constitute an offer to any Proposer and neither this RFP nor any subsequent discussions shall give rise to any commitment on the part of the Authority to enter into the Agreement or confer any rights on any proposer unless and until the Agreement is fully executed by the necessary parties. The Agreement, once executed, will represent the entire agreement between the proposer and the Authority and will supersede all prior negotiations, representations or agreements, alleged or made, between the parties. The Authority shall assume no liability for costs incurred by the proposer or for payment of services under the terms of the Agreement until the successful proposer is notified that the contract has been accepted and approved by the Authority’s Board of Directors and executed by its duly authorized agent. All proposals shall remain open and subject to acceptance by the Authority for ninety (90) days after the deadline for proposal submission.

K. Proposal Evaluation

The award of an Agreement for Engineering, Procurement and Major Salvage Operations Management Services will be made, if at all, to the Proposer whose evaluation by the Authority results in the Authority determining that such award is in the best interests of the Authority. However, the selection of a Proposer and the award of such Agreement, while anticipated, are not guaranteed.

The Authority is an Equal Opportunity and Affirmative Action employer and does not discriminate in its hiring, employment, contracting, or business practices. The Authority is committed to complying with the Americans with Disability Act of 1990 (ADA) and does not discriminate on the basis of disability in admission to, access to, or operation of its programs, services, or activities.

The Authority will evaluate proposals based upon, but not limited to, the following criteria, which are not necessarily presented in order of importance:

1. Demonstrated experience in providing Engineering, Procurement and Major Salvage Operations Management Services similar to this RFP;
2. Reasonableness of the proposed Project Approach and Schedule;
3. Proposal Price;
4. Reasonableness of any proposed Business Exceptions;
5. Any other factor or criterion that the Authority, in its sole discretion, deems relevant to such evaluation.

All proposals will also be rated on the proposer's demonstrated commitment to affirmative action. Sections 46a-68-1 to 46a-68-17 of the Regulations of Connecticut State Agencies require the Authority to consider the following factors when awarding a contract that is subject to contract compliance requirements:

1. The proposer's success in implementing an affirmative action plan (see Question 4 of **Attachment G**);
2. The proposer's success in developing an apprenticeship program complying with Sections 46a-68-1 to 46a-68-17 of the Regulations of Connecticut State Agencies, inclusive (see Question 5 of **Attachment G**);
3. The proposer's promise to develop and implement a successful affirmative action plan (see Question 4B of **Attachment G**);
4. The proposer's submission of EEO-1 data indicating that the composition of its work force is at or near parity when compared to the racial and sexual composition of the work force in the relevant labor market area; and
5. The proposer's promise to set aside a portion of the contract for legitimate minority business enterprises.

L. Contract Award

The successful Proposer will be required to execute the Agreement attached hereto as **Attachment C** ("Agreement"). The Proposer substantially agrees to all the terms and conditions of this attached Agreement unless otherwise specified as provided in Section I.F.7 hereof.

If the Agreement is to be awarded, the Authority will issue to the successful proposer(s) a Notice of Award within ninety (90) days after the proposal due date.

The Authority reserves the right to correct inaccurate awards resulting from Authority errors. This may include, in extreme circumstances, revoking a Notice of Award already made to a proposer and subsequently awarding the Notice of Award to another proposer. Such action by the Authority shall not constitute a breach of this RFP by the Authority since the Notice of Award to the initial proposer is deemed to be void ab initio and of no effect as if no agreement ever existed between the Authority and the initial proposer.

II. **Notifications, Acknowledgements and Certifications**

Potential Proposers are hereby notified that any agreement resulting from this RFP shall contain the provisions specified in this RFP Section II, and that in submitting a proposal, Proposer acknowledges receipt of such notification and agrees that Proposer is prepared to sign an agreement with these provisions. For purposes of this RFP Section II, Contractor shall mean any Proposer with whom the Authority enters an agreement resulting from this RFP.

A. **Nondiscrimination**

a. For purposes of this Section, “Contractor”, “contractor” and “Consultant” shall have the same meaning, “Contract”, “contract” and “Agreement” shall have the same meaning and other otherwise undefined terms have the meaning ascribed to them in Connecticut General Statutes § 4a-60g.

b. Pursuant to Connecticut General Statutes § 4a-60:

1. The Contractor agrees and warrants that in the Performance of this Contract such Contractor will not discriminate or permit discrimination against any person or group of persons on the grounds of race, color, religious creed, age, marital status, national origin, ancestry, sex, gender identity or expression, status of a veteran, intellectual disability, mental disability or physical disability, including, but not limited to, blindness, unless it is shown by such Contractor that such disability prevents Performance of the work involved, in any manner prohibited by the laws of the United States or of the State of Connecticut; and the Contractor further agrees to take affirmative action to ensure that applicants with job-related qualifications are employed and that employees are treated when employed without regard to their race, color, religious creed, age, marital status, national origin, ancestry, sex, gender identity or expression, status of a veteran, intellectual disability, mental disability or physical disability, including, but not limited to, blindness, unless it is shown by the Contractor that such disability prevents performance of the work involved;
2. the Contractor agrees, in all solicitations or advertisements for employees placed by or on behalf of the Contractor, to state that it is an "affirmative action equal opportunity employer" in accordance with regulations adopted by the Commission on Human Rights and Opportunities (the “Commission”);
3. the Contractor agrees to provide each labor union or representative of workers with which the Contractor has a collective bargaining agreement or other contract or understanding and each vendor with which the Contractor has a contract or understanding, a notice to be provided by the Commission, advising the labor

union or workers' representative of the Contractor's commitments under this Section and to post copies of the notice in conspicuous places available to employees and applicants for employment;

4. the Contractor agrees to comply with each provision of this Section and Connecticut General Statutes §§ 46a-68e and 46a- 68f and with each regulation or relevant order issued by said Commission pursuant to Connecticut General Statutes §§ 46a-56, 46a-68e and 46a-68f; and
 5. the Contractor agrees to provide the Commission with such information requested by the Commission, and permit access to pertinent books, records and accounts, concerning the employment practices and procedures of the Contractor as relate to the provisions of this Section and Connecticut General Statutes § 46a-56.
 6. If the contract is a public works contract, municipal public works contract or contract for a quasi-public agency project, the contractor agrees and warrants that he or she will make good faith efforts to employ minority business enterprises as subcontractors and suppliers of materials on such public works or quasi-public agency project.
- c. The Contractor shall include the provisions of subsection (b) of this Section in every subcontract or purchase order entered into in order to fulfill any obligation of a contract with the state, and in every subcontract entered into in order to fulfill any obligation of a municipal public works contract or contract for a quasi-public agency project, and such provisions shall be binding on a subcontractor, vendor or manufacturer unless exempted by regulations or orders of the Commission. The Contractor shall take such action with respect to any such subcontract or purchase order as the Commission may direct as a means of enforcing such provisions including sanctions for noncompliance in accordance with Connecticut General Statutes §46a-56; provided if such Contractor becomes involved in, or is threatened with, litigation with a subcontractor or vendor as a result of such direction by the Commission, the Contractor may request the state of Connecticut to enter into any such litigation or negotiation prior thereto to protect the interests of the state and the state may so enter.
- d. Pursuant to Connecticut General Statutes § 4a-60a:
1. The Contractor agrees and warrants that in the performance of this Contract such Contractor will not discriminate or permit discrimination against any person or group of persons on the grounds of sexual orientation, in any manner prohibited by the laws of the United States or the State of Connecticut, and that employees are treated when employed without regard to their sexual orientation;
 2. the Contractor agrees to provide each labor union or representative of workers with which such Contractor has a collective bargaining Contract or other contract

or understanding and each vendor with which such Contractor has a contract or understanding, a notice to be provided by the Commission advising the labor union or workers' representative of the Contractor's commitments under this Section, and to post copies of the notice in conspicuous places available to employees and applicants for employment;

3. the Contractor agrees to comply with each provision of this Section and with each regulation or relevant order issued by said commission pursuant to Connecticut General Statutes §46a-56; and
 4. the Contractor agrees to provide the Commission with such information requested by the Commission, and permit access to pertinent books, records and accounts, concerning the employment practices and procedures of the Contractor which relate to the provisions of this Section and Connecticut General Statutes §46a-56.
- e. The Contractor shall include the provisions of subsection (d) of this Section in every subcontract or purchase order entered into in order to fulfill any obligation of a contract with the state, and in every subcontract entered into in order to fulfill any obligation of a municipal public works contractor contract for a quasi-public agency project, and such provisions shall be binding on a subcontractor, vendor or manufacturer unless exempted by regulations or orders of the Commission. The Contractor shall take such action with respect to any such subcontract or purchase order as the Commission may direct as a means of enforcing such provisions including sanctions for noncompliance in accordance with Connecticut General Statutes § 46a-56; provided, if such Contractor becomes involved in, or is threatened with, litigation with a subcontractor or vendor as a result of such direction by the Commission, the Contractor may request the State of Connecticut to enter into any such litigation or negotiation prior thereto to protect the interests of the state and the state may so enter.
- f. Pursuant to subsection (c) of section 4a-60 and subsection (b) of section 4a-60a of the Connecticut General Statutes, the Contractor, for itself and its authorized signatory of this Contract, affirms that it understands the obligations of this section and that it will maintain a policy for the duration of the Contract to assure that the Contract will be performed in compliance with the nondiscrimination requirements of such sections. The Contractor and its authorized signatory of this Contract demonstrate their understanding of this obligation by initialing this nondiscrimination affirmation where specified in the Agreement.

B. Connecticut Campaign Contribution And Solicitation Limitations

For all state contracts, defined in section 9-612 of the Connecticut General Statutes as having a value in a calendar year of \$50,000 or more, or a combination or series of such agreements or contracts having a value of \$100,000 or more, the authorized signatory to this

Agreement represents that they have received the State Elections Enforcement Commission's notice advising state contractors of state campaign contribution and solicitation prohibitions, and will inform its principals of the contents of the notice. See https://seec.ct.gov/Portal/data/forms/ContrForms/seec_form_10_final.pdf. The Consultant makes the representations set forth in the Campaign Contribution Certification (OPM Form 1) attached as Exhibit G of the form of Agreement included as RFP **Attachment C**.

C. Contractor's Representation Concerning Consulting Agreements

Any agreement resulting from this RFP shall require Consultant to make a representation (the form of which is included as Exhibit F of the form of Agreement included as **Attachment C** of this RFP) that Contractor either has not entered into any Consulting Agreement for the purposes of (A) providing counsel to a contractor, vendor, consultant or other entity seeking to conduct, or conducting, business with the state, (B) contacting, whether in writing or orally, any executive, judicial, or administrative office of the state, including any department, institution, bureau, board, commission, authority, official or employee for the purpose of solicitation, dispute resolution, introduction, requests for information, or (C) any other similar activity related to such contracts; or to disclose the name and basic terms of any such consulting Agreement.

D. Contractor's Representation Concerning Gifts

Any agreement resulting from this RFP shall require the contractor to make the following representation:

That no gifts were made by (A) such person, firm, corporation, (B) any principals and key personnel of the person, firm or corporation, who participate substantially in preparing bids, proposals or negotiating state contracts, or (C) any agent of such person, firm, corporation or principals and key personnel, who participates substantially in preparing bids, proposals or negotiating state contracts, to (i) any public official or state employee of the state agency or quasi-public agency soliciting bids or proposals for state contracts, who participates substantially in the preparation of bid solicitations or requests for proposals for state contracts or the negotiation or award of state contracts, or (ii) any public official or state employee of any other state agency, who has supervisory or appointing authority over such state agency or quasi-public agency; (2) That no such principals and key personnel of the person, firm or corporation, or agent of such person, firm or corporation or principals and key personnel, knows of any action by the person, firm or corporation to circumvent such prohibition on gifts by providing for any other principals and key personnel, official, employee or agent of the person, firm or corporation to provide a gift to any such public official or state employee; and (3) That the person, firm or corporation is submitting bids or proposals without fraud or collusion with any person. (d) Any bidder or proposer that does not agree to the representations required under this section shall be rejected and the state agency or quasi-public agency shall award the contract to the next highest ranked proposer or the next lowest responsible qualified bidder or seek new bids or proposals.

E. Authority's President's Representation Concerning Gifts

Pursuant to section 4-252 of the Connecticut General Statutes and Acting Governor Susan Bysiewicz Executive Order No. 21-2, promulgated July 1, 2021, in signing any agreement resulting from this RFP, the authorized signatory of the Authority shall be required to represent that the selection of the most qualified or highest ranked person, firm or corporation was not the result of collusion, the giving of a gift or the promise of a gift, compensation, fraud or inappropriate influence from any person.

F. Representation Regarding Iran Energy Investment

Any agreement resulting from this RFP shall require the contractor to make the following representation:

- a. Pursuant to section 4-252a of the Connecticut General Statutes, the Contractor certifies that it has not made a direct investment of twenty million dollars or more in the energy sector of Iran on or after October 1, 2013, as described in Section 202 of the Comprehensive Iran Sanctions, Accountability and Divestment Act of 2010, and has not increased or renewed such investment on or after said date.
- b. If the Contractor makes a good faith effort to determine whether it has made an investment described in subsection (a) of this section, it shall not be subject to the penalties of false statement pursuant to section 4-252a of the Connecticut General Statutes. A "good faith effort" for purposes of this subsection includes a determination that the Consultant is not on the list of persons who engage in certain investment activities in Iran created by the Department of General Services of the State of California pursuant to Division 2, Chapter 2.7 of the California Public Contract Code. Nothing in this subsection shall be construed to impair the ability of the state agency or quasi-public agency to pursue a breach of contract action for any violation of the provisions of the Agreement.

III. **Additional Terms and Conditions:**

A. **Definitions**

As used in this RFP the following terms shall have the meanings as set forth below:

1. Addenda: Written or graphic documents issued prior to the proposal due date that clarify, correct or change any or all of the Contract Documents.
2. Contract Documents:
 - The Agreement;
 - This RFP and all Attachments
 - Addenda;
 - Proposer's Proposal (including all documentation attached to or accompanying such Proposal, all other documentation submitted in connection with such Proposal, and all post-proposal documentation submitted prior to the Notice of Award);
 - Notice of Award; and
 - Any written amendments to the Agreement.
3. Laws And Regulations: Any and all applicable laws, rules, regulations, ordinances, codes, orders and permits of any and all federal, state and local governmental and quasi-governmental bodies, agencies, authorities and courts having jurisdiction.
4. Notice of Award: Written notification from the Authority to the apparent successful proposer that states that the Authority has accepted such proposer's proposal and sets forth the remaining conditions that must be fulfilled by such proposer before the Authority executes the Agreement.
5. Site: The South Meadows Site as defined in Section I.A of this RFP.

B. **Binding Effect**

This Request for Proposals and any responses thereto shall inure to the benefit of and be binding upon the heirs, personal representatives, successors and assigns of the parties hereto.

C. **Authority Reserved Rights**

During the entire solicitation process the Authority retains the right to:

1. Extend any of the actual or proposed dates in the Projected Timeline;
2. Reject any and all proposals and republish this RFP;
3. Terminate this RFP process at any time prior to the execution of an agreement;

4. Supplement, amend, or otherwise modify or cancel the solicitation process with or without substitution of another solicitation;
5. Issue additional or subsequent solicitations;
6. Investigate the qualifications of any entity under consideration (including subcontractors and parties otherwise related to a proposing entity);
7. Clarify the information provided pursuant to this RFP;
8. Request additional evidence or documentation to support the information included in any submittal;
9. Appoint an evaluation committee to review submittals and use the assistance of outside professionals in submittal evaluation;
10. Approve or disapprove of particular subcontractors, joint venture partners, or other proposed team members;
11. Interview and hold discussions with any entity at any time after receipt of a submittal and before the signing of a legally binding agreement;
12. Enter into any final agreement(s) which result from this RFP which the Authority, in its sole and absolute discretion, determines to be in its best interest. Such determination will be based on a variety of factors including but not limited to experience, price, reasonable plans and business exceptions as described herein, and any other consideration which the Authority in its sole discretion determines is relevant;
13. Enter into a final Agreement with terms that vary from the terms set forth in the Authority's solicitation documents;
14. Visit and examine any of the facilities referenced in any submittal;
15. Conduct contract discussions with one or more submitting entities; and
16. Reject any and all submittals, or parts thereof, and/or to waive any informality or informalities in any proposal, if such rejection or waiver is deemed in the best interests of the Authority.

D. Communications With Authority Staff and Board

Except as otherwise authorized by this RFP, during the period while the RFP process is active (i.e., from the date the Authority issues the RFP until the date the successful proposer accepts the Notice of Award), contractors contemplating or preparing proposals are prohibited from contacting Authority staff or Authority Board Directors or Members in an ex parte manner to discuss the RFP submission process. A contractor's RFP submission shall be rejected if any of the foregoing ex parte communications take place.

E. Addenda And Interpretations

The Authority may issue Addenda to this RFP that shall, upon issuance, become part of the RFP and binding upon all potential or actual Proposers. Such Addenda may be issued in response to questions regarding Site conditions and requests for interpretation or clarification received from potential Proposers. Any questions regarding Site conditions and any request for interpretation or clarification of this RFP must be submitted in writing to Roger Guzowski by e-mail

(rguzowski@ctmira.org) or by correspondence (MIRA Dissolution Authority, 300 Maxim Road, Hartford, Connecticut 06114). To be given consideration, any such written questions and requests must be received by the Authority by the deadline set forth in Section I.C of this RFP. Addenda, if any, will be mailed and/or e-mailed to all persons who expressed interest or arranged to pick up this RFP pursuant to Sections I.D and I.E hereof. Such addenda will also be posted on the Authority's web site (<http://www.ctmira.org>) on the "Current Solicitations" page, under the "Business Links" section of the website. The RFP and Attachments can be accessed by selecting the link titled: RFP: "PROPOSAL FOR ENGINEERING, PROCUREMENT AND MAJOR SALVAGE OPERATIONS MANAGEMENT SERVICES FOR THE HARTFORD RESOURCE RECOVERY FACILITY, JET TURBINE FACILITY AND SOUTH MEADOWS SITE". Such addenda will be mailed/e-mailed and posted on the web site no later than the date set forth in Section I.C of this RFP.

Failure of any Proposer to receive any such Addenda shall not relieve such Proposer from any conditions stipulated in such Addenda. Only questions answered or issues addressed by formal written Addenda will be binding. All oral and other written responses, statements, interpretations or clarifications shall be without legal effect and shall not be binding upon the Authority.

F. Modification or Withdrawal of A Proposal

Proposals may be modified or withdrawn by an appropriate document duly executed (in the manner that a Proposal must be executed) and delivered to the place where Proposals are to be submitted at any time prior to the Proposal due date and time.

G. Proposal Preparation and Other Costs

Each proposer shall be solely responsible for all costs and expenses associated with the preparation and/or submission of its proposal, or incurred in connection with any interviews and negotiations with the Authority, and the Authority shall have no responsibility or liability whatsoever for any such costs and expenses.

H. Proposer's Qualifications

The Authority may make any investigation deemed necessary to determine the ability of any Proposer to perform the Agreement as required. Each Proposer shall furnish the Authority with all such information as may be required for this purpose.



WPF - Primary and Secondary magnets



WPF -Primary Shredder Motor (1250 hp)



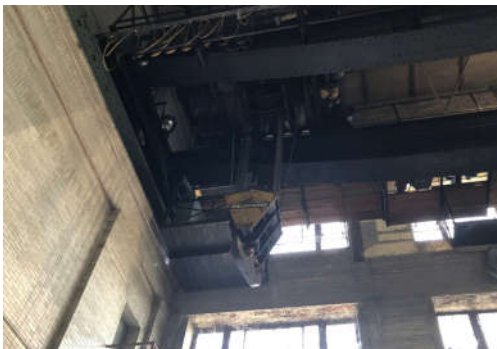
WPF – Thermal Oxidizer



PBF - Turbines



PBF - 50-ton hoist in turbine hall



PBF - Boiler Feed Pump



RFP Attachment A-2 : List of Fixed Assets which may be available as Installed Equipment

WPF/PBF/JETS - small equipment			
item #	Description	Location	Acquisition date
354100410	Fans at the WPF	catwalk area or top of bldg	6/30/2000
354100710	Snow blower Ariens 11 Hp 36" wide,elect		3/17/1988
354100828	Primary Air Compressor #1	WPF Compressor room - part of Was	10/1/2009
354100829	Primary Air Compressor #2	WPF Compressor room - part of Was	10/1/2009
354100891	Hot water Boiler(replace)	Mechanical room-WPF	7/31/2013
354100981	454 LS General Industrial Fan		5/31/2015
354100988	Hot Water Heater @ WPF		8/31/2015
354101013	COMPRESSOR ELEMENT CHANGE OUT		8/31/2016
354101015	Overhaul Joy Instrument Air Compressor		9/30/2016
354101018	Vega Puls 66 Radar Level Gauge		9/30/2016
354900005	Portable Air cleaner	PBF welding station	4/30/2013
354900007	Remote Amplifier	RQ doesn't know what this is	4/30/2013
354900009	Hydrogen Cooler	outside PBF turbine basement	4/30/2013
3590047	Paperless chart recorder		11/30/2010
3590048	Hydrogen Purity Meter	in PBF basement	2/28/2011
3590051	Air Compressor	in PBF basement	6/30/2011
3590057	Dewpoint transmitter on generator	on generator	10/31/2011
3590062	Fuel Pump Overhaul	fuel pump in in jet shop	4/1/2013
3590064	Auto Drain Kit for Air compressor	by the air compressor-PBF	4/1/2013
3590072	Fuel Controller Cooling Fans		9/30/2014
354101032	Plant instrument air compressor		4/30/2017
354101067	Shop Press (Punch & Die Set)		4/30/2020

WPF/PBF - Shredders			
item #	Description	Location	Acquisition date
354100059	2 Primary Shredder Rotors		1/17/1991
354100097	Replaceable cap rotor 6 arm for shredder		8/31/1992
354100250	Secondary shredder Rotor		7/31/1996
354100369	Primary Shredder Rotors		12/31/1999
354100380	Secondary Shredder Motor		12/31/1999
354100439	Secondary Shredder Rotor-4 arm, S/N#626		6/30/2001
354100466	Primary shredder Motor-2nd spare @WPF		6/28/2002
354100552	Upgrade secondary shredder for CV131&231		3/31/2004
354100749	Shredder Rotor for Siemens 1000HP Motor		5/10/2006
354100758	2 Helmut Cap Secondary Rotors@WPF		6/23/2006
354100785	500HP Shredder Motor		9/30/2007
354100805	Refurbished Primary Shredder CV104		7/31/2008
354100806	Refurbish primary Shredder CV204		7/31/2008
354100835	Secondary Shredder Rotor-1000hp		4/30/2011
354100836	Shredder Motor		8/31/2011
354100849	Spare Rotor for Primary Shredder		5/31/2012
354100850	Exhaust hood Prim.Shredder		5/31/2012
354100869	1250 HP Shredder Motor		10/31/2012
354100870	Secondary Shredder Motor couplings		10/31/2012
354100933	Sec Shredder Rotor		6/30/2014

RFP Attachment A-2 : List of Fixed Assets which may be available as Installed Equipment

354100939	Secondary Shredder Hammer Rotor	7/31/2014
354100947	Man-Cro 400F Test Shredder Grates	8/31/2014
354100964	Install Hub/Bearing on Secondary Rotor	11/30/2014
354100976	1250HP Secondary Shredder Motor-Spare	2/28/2015
354100998	131SHREDDER LOWER WALL REPLACED	1/31/2016
354101001	TERMINATOR-MOBILE SHREDDER	3/31/2016

WPF/PBF - Conveyors			
item #	Description	Location	Acquisition date
354100251	Conveyors Replaced #101/201&102/202 orig		9/30/1996
354100483	#203 Conveyor modification		11/15/2002
354100490	Mod & inst magnetic sep ove ash conveyor		5/24/2003
354100766	overhaul cv#200b@wfp-MDC		2/28/2007
354100781	Overhaul Conveyer#504		6/29/2007
354100789	124 Conveyor Rebuild		10/1/2007
354100796	Conveyor 100B Rebuild		1/31/2008
354100797	Conveyor 201 Rebuild		2/1/2008
354100798	Conveyor 225 Rebuild		2/1/2008
354100799	Conveyor 125 Rebuild		2/1/2008
354100800	Conveyor 130 Rebuild		2/29/2008
354100808	Conveyor 126/226 Rebuild		1/31/2009
354100811	Conveyor 131/231 Rebuild		1/1/2009
354100819	Conveyor 123/223 belt refurb		6/30/2009
354100866	Conveyor without wheels- NAES		9/30/2012
354100878	Upgrade conveyor #105,202,126&202		3/31/2013
354100884	ASH CONVEYOR WIDENING		6/30/2013
354100885	4B Conveyer Gallery Mods		6/30/2013
354100886	5B Conveyor Gallery Mods		6/30/2013
354100887	Conveyor without Wheels		6/30/2013
354100894	Conveyor without wheels		10/31/2013
354100895	Split controls for Conveyor		10/31/2013
354100897	C139&C239 Belt Scales		10/31/2013
354100898	Install& Vulcunize Conveyor Belt		10/31/2013
354100899	Overhaul railsets CV#202		10/31/2013
354100900	Conveyor Scale CAT6		10/31/2013
354100901	Conveyor#102 Replacement		10/31/2013
354100903	Overhaul Conveyor#503		10/31/2013
354100928	Vulcunize CV#126,233,218&238		4/30/2014
354100937	Install and Vulcunize CV#126		7/31/2014
354100940	Install and Vulcunize CV #205		7/31/2014
354100945	Install and Vulcanize CV Belt #103		8/31/2014
354100946	Install and Vulcanize CV Belt #138		8/31/2014
354100969	#515 Belt, Install & vulcanize		12/31/2014
354100978	Motorized Brush Cleaner - Conveyor Belts		4/30/2015
354100980	Install & Vulcanize Cvy Belt on 401		5/31/2015
354100985	Install & Splice 513 Conveyor		5/31/2015
354100989	4B Conveyor Belt Replacement		8/31/2015

RFP Attachment A-2 : List of Fixed Assets which may be available as Installed Equipment

354100993	RDF BELT REPLACEMENT	11/30/2015
354100995	5B CONVEYOR BELT REPLACED	12/31/2015
354100996	5A CONVEYOR BELT OVERHAUL	12/31/2015
354100997	5B CONVEYOR BELT END REPLACEMENT	12/31/2015
354101002	REBUILD 105 CONVEYOR	4/30/2016
354101004	CONVEYOR 130 - INSTALL & SPLICE	5/31/2016
354101006	FLY ASH CVY REBUILD/OVERHAUL	6/30/2016
354101010	4B CONVEYOR REPAIRS	7/31/2016
354101014	13A FAC CONVEYOR OVERHAUL	8/31/2016
354101023	INSTALL & SPLICE CVY BELTS #230 & #130	12/31/2016
354900002	Conveyor upgrade #105,205,126&202	3/31/2013

WPF/PBF Picking Station - Hoists

item #	Description	Location	Acquisition date
354100284	C510 Envelope 4 Manipulator w/hydr crank		6/1/1998
354100303	21G Picking Station		9/30/1998
354100370	Clansman C510 Manipulator(pickg station)		12/31/1999
354100801	20T Wire Rope Trolley Hoist		4/30/2008
354100920	Lift Air hoist		4/30/2014
354100934	Polycarb glass in pickers		6/30/2014
354100970	New Manipulator for Picking Station-11G		12/31/2014
354100994	21G PICKER - PARTS		12/31/2015
354101005	Hoist Cable Replacements		5/31/2016
354900001	Upgrade Clansman pickers 22G & 11G		2/28/2013
3590060	Trolley Hoist Cranes		7/31/2012

WPF/PBF/JETS - Systems

item #	Description	Location	Acquisition date
354100180	Sprinkler system under Mezzanine		4/1/1995
354100253	WPF Lawn Sprinkler		7/31/1996
354100186	Nitrous oxide control system		6/22/1995
354100361	FY89Waste Processing&incinerating system		6/30/1989
354100839	New batch pugmill system&mixmaster unit		10/31/2011
354101008	ASH SYSTEM		6/30/2016
354100367	PBF-Odor Control System		12/31/1999
354100372	WPF-Odor Control System		12/31/1999
354100373	WPF Magnet Support System		12/31/1999
354100788	Walker 4854 Drum @ WPF	part of ferrous system	10/31/2007
354100409	(2) Replacement Parts/Ferrous Magnet		6/30/2000
354100434	Security System WPF		3/31/2001
354100443	Security system install@Manuevering Hall		10/1/2001
354100454	Electric wiring at WPF gate		12/16/2001
354100455	Security system at WPF gate		12/20/2001
354100616	GATE CONTROL SYSTEM@WPF		8/31/2005
354100463	Motor for WPF automatic personnel gate		1/4/2002
3590022	card reader sys@adm bld - covanta		2/28/2007
354100592	Dolo Lime System @PBF		1/31/2005

RFP Attachment A-2 : List of Fixed Assets which may be available as Installed Equipment

3590036	Slaker Control overhaul	box outside of lime silo	10/1/2009
354100755	Lighting Protection System@RRC Scales		6/23/2006
354100765	Upgrade phone system at MDC office		2/1/2007
354100923	new phone system @ WPF		4/30/2014
354100924	Below grade Conduit system		4/30/2014
354100803	Alarm System		6/30/2008
354100804	Automation System Upgrade		6/30/2008
354100825	Automation system upgrade		7/31/2009
354100840	Video Monitoring system@WPF		12/31/2011
354100962	Fire alarm system @ WPF		11/30/2014
354100916	Automatic fire system terminator		12/31/2013
354100911	Purch & Install Fire Radio		11/30/2013
354100948	Fluid Handling System & Containment Pan		8/31/2014
354100473	MCAPS-see#4100474 add'l	air handling system	6/30/2002
354100474	MCAPS add'l-see 4100473 orig	air handling system	9/30/2002
3590056	Plant UPS system		9/30/2011
354100872	Lighting Conservation- WPF		1/31/2013
354100881	Lighting Conservation-PBF		4/30/2013
3590065	EGF Lighting Conservation		4/30/2013
354100951	Upgrade 1A Switchyard Bulk Power System		7/31/2014
354100932	S. Meadows Bulk Power System	Switchyard Bulk Power Sys	6/30/2014
3590033	Power Monitoring Upgrade	inside panel-Eversource can see our	3/31/2009
354100977	SER Installation		2/28/2015
3590076	SER Installation		5/31/2015
354100919	MCC segregation project	RDF feed area-shuffle power supply	1/31/2014
354100974	Transformer Substation		1/31/2015
3590008	1 Vibration Monitor to replace old one		2/29/2004
3590012	NEW STRAINER OF WATER SVC SYSTEM		4/30/2005
3590063	CEMS Data Acquisition	PBF office-units throughout	4/1/2013
354100914	Monitor for TG-6 TSI panel	ctrl room - boiler house	11/30/2013
354101019	Lift Master Barrier Gate		9/30/2016
354100926	Bushings transformer overhaul		4/30/2014

WPF/PBF Cameras			
Item #	Description	Location	Acquisition date
354100470	1 Camera at WPF		6/30/2002
354100471	1 Camera at WPF		6/30/2002
354100625	WEB CAMERA WPF SCALEHOUSE		1/24/2006
354100626	WEB CAMERA WPF SCALEHOUSE		1/24/2006
354100691	Panasonic Camcorder AG160 w/battery char		12/12/1987
354100821	3 Cameras @ Scale House		6/30/2009
354100877	Furnace Camera- WPF		3/31/2013

WPF/PBF/JETS - Pumps			
Item #	Description	Location	Acquisition date
354100889	Reblid 6S circ. water pump		7/31/2013
354100896	6B BOILER FEED PUMP		10/31/2013

RFP Attachment A-2 : List of Fixed Assets which may be available as Installed Equipment

354100925	Repairs - 6A Boiler feed pumps	4/30/2014
354100935	5C circulating water pump	6/30/2014
354100949	Unit 5A Boiler Feed Pump	7/31/2014
354100986	Recondition 6A BFP Discharge Valve	5/31/2015
354100987	Unit 5A & 5B recond'n & install valves	5/31/2015
354100992	1B SERVICE WATER PUMP	11/30/2015
354101007	2 EBARA SEWER LIFT PUMPS	6/30/2016
354101009	6B BOOSTER PUMP 200HP MOTOR	6/30/2016
354101012	FLYGH T SUBMERSIBLE PUMP-THALL	8/31/2016
354101021	1A SERVICE WATER PUMP	10/31/2016
3590023	Water pump overhaul	6/29/2007
3590030	Sub. Pump w/15H Motor	1/31/2009
3590037	Sub. pump w/15hp motor	1/31/2010
3590039	Sump Pump	6/30/2010
3590040	Sump Pump	6/30/2010
3590041	Circulating Water Pump 5N	6/30/2010
3590042	Circulating Water Pump 6N	6/30/2010
3590043	Circulating Water Pump 6S	6/30/2010
3590052	Sump Pump - Barnes 15hp	5/31/2011

WPF/PBF/JETS - water flow from river to plant

item #	Description	Location	Acquisition date
354100609	Repl Trommel Screen@WPF (fr #4100361)	waste processing room	5/1/2005
354100628	Repl screens(bigger holes) to 4 trommels	waste processing room	3/31/2006
354100908	Trommel Rings	Waste Processing rm	12/31/2013
3590013	Overhaul #5N water travelling screen@EGF	screens out water before pumps intc	10/31/2005
3590021	Replaced Carrier Chain@5N screenhouse	related to the screens	12/31/2006

WPF/PBF/JETS - Turbines and Jets

item #	Description	Location	Acquisition date
354100929	6N Turbine Motor overhaul		4/30/2014
354100999	ACCESS PLATFORM FOR TURBINE 6		1/31/2016
3590006	Jets PW Turbine-Units11&14,FT4 Twin Pacs		4/30/2001
3590007	EGF Steam GE Turbines-Units 5 & 6		4/30/2001
3590017	Overhaul turbine #5		2/28/2006
3590018	Rebuilt Jet turbine 6/1/06(see#4190006)		7/1/2006
3590024	Inline seperator@jets	in purifier in turbine	8/31/2007
3590028	Air Compressors for Turbine #5		6/30/2008
3590029	Upgrade and install Turbine Controls		8/31/2008
3590034	Turbine CW S/N 600024		5/31/2009
3590035	Turbine CCW S/N 600281		5/31/2009
3590049	Turbine CW S/N		5/31/2011
3590050	Turbine CCW S/N		5/31/2011
3590053	Jets Fuel Tank		9/30/2011
3590055	Rebuild One Spare Jet Engine		8/31/2011
3590059	Rebuild two spare turbinessee#4190006		4/30/2012
3590071	Repair/ Replace spare turbine		6/30/2014

RFP Attachment A-2 : List of Fixed Assets which may be available as Installed Equipment

3590073	Spare Compressor Blade - FT4 Engine	9/30/2014
3590074	Repairs - Spare Free Power Turbine	2/28/2015
3590075	EGF Steam GE Turbines Units 5 & 6	5/31/2015
3590077	Flow Meters & Pars w/calibration	8/31/2015
3590078	Leak Detection Panels	8/31/2015
3590079	INTAKE SCREENS	10/31/2015
3590080	TURBINE SPARE PARTS	12/31/2015
3590081	JET#572 (repairs/maintenance)	5/31/2016
3590082	JET #575 (maintenance/repairs)	11/30/2016

WPF/PBF - Doors			
item #	Description	Location	Acquisition date
354100096	1 Blast door at WPF		11/30/1992
354100223	Replace loadout doors at WPF		9/30/1995
354100456	1 plastic strip door@WPF, NPW area		1/15/2002
354100457	1 plastic strip door @WPF,NPW area		1/15/2002
354100959	Cast Iron Door & Frame		10/31/2014
354100979	Albany Door for East RDF		5/31/2015
354900008	Overhead rollup doors		4/30/2013

WPF/PBF - Fences/Gates			
item #	Description	Location	Acquisition date
354100465	Chain link Fence&2swing gates @WPF		3/12/2002
354100613	Chain link fence 90' of 7' high&8 posts		5/26/2005
354100620	Double drive gate		9/26/2005
354100621	Double drive gate@171 Murph		9/26/2005
354100874	Digital control for WPF fence		1/31/2013
3590031	Gate 20 Safety Devices		3/31/2009

WPF/PBF - Scales			
item #	Description	Location	Acquisition date
354100106	Radiation gate monitor Model 3503		4/30/1993
354100107	Radiation gate monitor Model 3503		4/30/1993
354100810	New Scale Indicators & Printers		2/28/2009
354100845	Auto Scale Upgrade		5/31/2012
354100873	Driveup windows@scale house wpf		1/31/2013
354101020	Radioactive Survey Meter & detector		10/1/2016
354900004	New walkway railings at scale-wpf		4/30/2013

WPF/PBF - A/C units			
item #	Description	Location	Acquisition date
354100855	Ductless A/C @WPF- NAES		7/31/2012
354100990	AC Circuit Breaker		8/31/2015
354100991	20 TON A/C COMPRESSOR-ADMIN BLDG		9/30/2015
354101016	Replace Control Room A/C (TRANE unit)		9/30/2016
354101022	CONTROL ROOM A/C		11/30/2016
3590010	A/C and Electric heat unit -replacement		8/31/2004

RFP Attachment A-2 : List of Fixed Assets which may be available as Installed Equipment

3590058	A/C in EGF admin building conference roo	11/30/2011
3590067	A/c units(5) Jets Bldg	7/31/2013

WPF/PBF - Part of Boiler System			
item #	Description	Location	Acquisition date
354100037	Boilers 11 & 12		
354100231	Air ducts on 2 compressors-see#4100186		12/31/1995
354100893	Rebuild Meter Bin screws		7/31/2013
354100909	Grate Driver Gear Box		12/31/2013
354100913	Oxygen Analyzer		12/31/2013
354100915	Grate valve with GEAR box		11/30/2013
354100921	Grate drive gear box		5/31/2014
354100927	Recondition Auger screws		4/30/2014
354100931	Boiler house Roof Fan		4/30/2014
354100958	Desuperheaters		10/31/2014
354100960	FW Heater Repairs		10/31/2014
354100961	Scrubber Make up Water Pump		11/30/2014
354100965	Lance Tube Assembly		12/31/2014
354100966	Bearing-Auger Hyd Motor		12/31/2014
354100968	Oxygen analyzer		12/31/2014
354100975	Oxygen Analyzer		1/31/2015
354100983	Repair 11 Atomizer		5/31/2015
354100984	New Fisher Cage Part #24 & Part #31		5/31/2015
354101000	BAGHOUSE LIFT HOIST		2/29/2016
354101017	HACH Samplers for NPDES		9/30/2016
354900006	Whaler Beams		4/30/2013
3590020	Overhauled Gear Box@Unit#12A		12/31/2006
3590069	Purch&install relay panel	Boiler 13	10/31/2013

WPF/PBF - Other			
item #	Description	Location	Acquisition date
354100227	Backflow Preventor Assembly (plumping)	in ground by scale house	6/30/1996
354100252	Add'l\$ Backflow plumbing device,#4100227	in ground by scale house	9/30/1996
354100368	Cyclone Bodies installation/Sweeper Body		12/31/1999
354100856	New Cyclone Seperators		7/31/2012
354100930	Cyclone project and stairs		4/30/2014
354100780	CRRRA sign@ MDC		6/29/2007
354100831	1/6 Scale Model of PBF		6/30/2010
354100882	9&10 Stack Lights	PBF	4/30/2013
354100888	Hot well at PBF		7/31/2013
3590066	Hot well @JTF PBF	basement of PBF	7/31/2013
354100910	Purchase&Install IR windows	WPF - 5KV Breaker room	11/30/2013
354100912	Siemens Vaccum contactor	inside electrical breaker 4160	12/31/2013
354100922	Aerovent AMU burner	RQ doesn't know what this is	5/31/2014
354100944	Drop In Reducer Gear Box Falk Y2070	WPF warehouse	8/31/2014
354100950	Expansion Joints	on outside of bldg	7/31/2014
354100952	Repair/Recondition Vacuum Circuit Breake		8/31/2014

RFP Attachment A-2 : List of Fixed Assets which may be available as Installed Equipment

354100953	Demineralization Pad	river side of PBF pad & house	9/30/2014
354100954	Ferrous Recovery Covers	covers for ferrous boxes	9/30/2014
354100963	Smoking shelters (2)		11/30/2014
3590054	Sanitary Lift Control	underground-cover is outside jet shq	9/30/2011
354101043	Portable Yard Crew Office		8/31/2017

WPF/PBF/JETS - Batteries			
item #	Description	Location	Acquisition date
354100862	2 APC Symmetra Battery Backup- NAES		8/31/2012
354100917	APC symmetra Battery and frame		12/31/2013
3590014	Battery charger model A12B-175-130-C3		11/30/2005
3590015	Battery charger model A12B-175-130-C3		11/30/2005
3590019	Mitsubishi air conditioning@Battery room		11/30/2006
3590025	Battery Pack		8/31/2007
3590027	Battery Pack		5/31/2008

RFP ATTACHMENT C:

**SAMPLE AGREEMENT AGREEMENT FOR ENGINEERING,
PROCUREMENT AND MAJOR SALVAGE OPERATIONS
MANAGEMENT SERVICES**

RFP Note: The Authority intends to provide the Sample Agreement referenced herein via a separate Addendum issued a few business days after the issuance of this RFP. Such addendum and Sample Agreement will be posted on the Authority's website at <https://www.ctmira.org/business-links/current-bids-rfp-rfq> with other RFP documents.



PROPOSAL FORM

RFP NUMBER: 25-AUTH-002

CONTRACT FOR: Engineering, Procurement and Major Salvage Operations Management Services for the Hartford Resource Recovery Facility, Jet Turbine Facility and South Meadows Site

PROPOSALS SUBMITTED TO: MIRA Dissolution Authority
300 Maxim Road
Hartford, Connecticut 06114

1. DEFINITIONS

Unless otherwise defined herein, all terms that are not defined and used in this Proposal Form (a "Proposal") shall have the same respective meanings assigned to such terms in the Contract Documents.

2. TERMS AND CONDITIONS

The undersigned (the "Proposer") accepts and agrees to all terms and conditions of the Request For Proposals, the Agreement and any Addenda to any such documents. This Proposal shall remain open and subject to acceptance as specified in Section I.J. of the RFP.

At any time after Proposals are opened The Authority may enter contract negotiations with one or more Proposers. If The Authority contacts Proposer to begin contract negotiations, the Proposer agrees to:

- negotiate the contract in good faith (The Authority reserves the right to limit any negotiations to exceptions included in Proposer's proposal [as specified in Section I.G.7 of the RFP]);
- provide in a timely manner clarifications or additional information requested by The Authority during negotiations;
- attend meetings with The Authority and its Board, as necessary, to negotiate, obtain approval for and execute the contract; and
- bear all of its costs and expenses for contract negotiations and approval.

The Proposer recognizes that The Authority has no liability to any party until a contract is approved by its Board of Directors and executed by its President & CFO, and then only to the extent provided for in such contract.

If The Authority issues a Notice Of Award to Proposer, Proposer shall within ten (10) days after the date thereof:

- (a) Execute and deliver to The Authority two counterparts of the final Agreement, reflecting any agreed Exception, including:
 - i. Review the representations and certifications in Section 10 of the Agreement, and initial where applicable in Section 9.1 and 9.4;
 - ii. If applicable, execute and deliver to the Authority the Consulting Agreement Representation (Exhibit F of the Agreement);
 - iii. Execute and deliver to the Authority the Campaign Contribution Certification [OPM Form 1](Exhibit G of the Agreement).
- (b) Deliver to The Authority the requisite certificates of insurance;
- (c) Deliver to The Authority an up to date W-9 Request for Taxpayer Identification Number and Certification; and
- (d) Satisfy all other conditions of the Notice of Award.

3. PROPOSER'S OBLIGATIONS

Proposer proposes and agrees, if this Proposal is accepted by The Authority and The Authority issues a Notice Of Award to Proposer, to the following:

- (a) To perform, furnish and complete all the Services as specified or indicated in the Contract Documents and Agreement for the applicable prices, rates and/or costs set forth in this Proposal and in accordance with the terms and conditions of the Contract Documents and Agreement; and
- (b) At the request of The Authority and if the successful Proposer qualifies, to apply with the State of Connecticut Department of Administrative Services, and do all that is necessary to make itself qualify, as a Small Contractor and/or Minority/Women/ Disabled Person Business Enterprise in accordance with Section 4a-60g of the *Connecticut General Statutes*.

4. PROPOSER'S REPRESENTATIONS CONCERNING EXAMINATION OF CONTRACT DOCUMENTS

In submitting this Proposal, Proposer represents that:

- (a) Proposer has thoroughly examined and carefully studied the RFP Package Documents and the following Addenda, receipt of which is hereby acknowledged (list Addenda by Addendum number and date):

Addendum Number	Date Issued

- (b) Without exception the Proposal is premised upon performing, furnishing and completing the Services required by the Contract Documents and applying the specific means, methods, techniques, sequences or procedures (if any) that may be shown, indicated or expressly required by the Contract Documents;
- (c) Proposer is fully informed and is satisfied as to all Laws and Regulations that may affect cost, progress, performance, furnishing and/or completion of the Services;
- (d) Proposer has studied and carefully correlated Proposer’s knowledge and observations with the Contract Documents and such other related data;
- (e) Proposer has given The Authority written notice of all conflicts, errors, ambiguities and discrepancies that Proposer has discovered in the Contract Documents and the written resolutions thereof by The Authority are acceptable to Proposer;
- (f) If Proposer has failed to promptly notify The Authority of all conflicts, errors, ambiguities and discrepancies that Proposer has discovered in the Contract Documents, such failure shall be deemed by both Proposer and The Authority to be a waiver to assert these issues and claims in the future;
- (g) Proposer is aware of the general nature of work to be performed by The Authority and others that relates to the Services for which this Proposal is submitted; and
- (h) The Contract Documents are generally sufficient to indicate and convey understanding by Proposer of all terms and conditions for performing, furnishing and completing the Services for which this Proposal is submitted.

5. PROPOSER'S REPRESENTATIONS CONCERNING INFORMATION MADE AVAILABLE

In submitting this Proposal, Proposer acknowledges and agrees that Proposer shall not use any information made available to it or obtained in any examination made by it in connection with this RFP in any manner as a basis or grounds for a claim or demand of any nature against The Authority arising from or by reason of any variance which may exist between information offered or so obtained and the actual materials, conditions, or structures encountered during performance of any of the Services.

6. PROPOSER'S REPRESENTATIONS CONCERNING STATE OF CONNECTICUT TAXES

In submitting this Proposal, Proposer acknowledges and agrees that The Authority is exempt from all State of Connecticut taxes and assessments, including sales and use taxes. Accordingly, Proposer shall not charge The Authority any State of Connecticut taxes or assessments at any time in connection with Proposer's performance of this Agreement, nor shall Proposer include any State of Connecticut taxes or assessments in any rates, costs, prices or other charges to The Authority hereunder. Proposer represents and warrants that no State of Connecticut taxes or assessments were included in any rates, costs, prices or other charges presented to The Authority in any Proposal or other submittal to The Authority in connection with this RFP.

7. PROPOSER'S REPRESENTATIONS CONCERNING DISCLOSURE OF INFORMATION

In submitting this Proposal, Proposer:

- (a) Recognizes and agrees that The Authority is subject to the Freedom of Information provisions of the *Connecticut General Statutes* and, as such, any information contained in or submitted with or in connection with Proposer's Proposal is subject to disclosure if required by law or otherwise; and
- (b) Expressly waives any claim(s) that Proposer or any of its successors and/or assigns has or may have against The Authority or any of its directors, officers, employees or authorized agents as a result of any such disclosure.

8. PROPOSER'S REPRESENTATIONS CONCERNING NON-COLLUSION

By submission of this Proposal, the Proposer, together with any affiliates or related persons, the guarantor, if any, and any joint ventures, hereby represents that, under risk of termination of the Agreement, if awarded, to the best of its knowledge and belief:

- (a) The prices in the Proposal have been arrived at as the result of an independent business judgment without collusion, consultation, communication, agreement or otherwise for the purpose of restricting competition, as to any matter relating to such prices and any other person or company;

- (b) Unless otherwise required by law, the prices that have been quoted in this Proposal have not, directly or indirectly, been knowingly disclosed by the Proposer prior to “opening” to any other person or company;
- (c) No attempt has been made or will be made by the Proposer to induce any other person, partnership or corporation to submit, or not to submit, a Proposal for the purpose of restricting competition;
- (d) Proposer has not directly or indirectly induced or solicited any other Proposer to submit a false or sham Proposal; and
- (e) Proposer has not sought by collusion to obtain for itself any advantage for the Services over any other Proposer for the Services or over The Authority.

9. PROPOSER’S REPRESENTATIONS CONCERNING RFP FORMS

By submission of this Proposal, the Proposer, together with any affiliates or related business entities or persons, the guarantor, if any, and any joint ventures, hereby represents that, under risk of termination of the Agreement, if awarded, all of the forms included in the RFP Package Documents that are submitted to The Authority as part of its Proposal are identical in form and content to the preprinted forms in the RFP Package Documents except that information requested by the forms has been inserted in the spaces on the forms provided for the insertion of such requested information.

10. PROPOSER’S WAIVER OF DAMAGES

Proposer and all its affiliates and subsidiaries understand that by submitting a Proposal, Proposer is acting at its and their own risk and Proposer does for itself and all its affiliates, subsidiaries, successors and assigns hereby waive any rights any of them may have to receive any damages for any liability, claim, loss or injury resulting from:

- (a) Any action or inaction on the part of The Authority or any of its directors, officers, employees or authorized agents concerning the evaluation, selection, non-selection and/or rejection of any or all Proposals by The Authority or any of its directors, officers, employees or authorized agents;
- (b) Any agreement entered into for the Services (or any part thereof) described in the Contract Documents; and/or
- (c) Any award or non-award of a contract for the Services (or any part thereof) pursuant to the Contract Documents.

11. ACKNOWLEDGEMENTS REGARDING AGREEMENT PROVISIONS

In submitting this RFP Proposer is noticed and acknowledges that any agreement resulting from this RFP shall contain the following provisions and Proposer is prepared to sign an agreement with such provisions:

- (a) Affirmation regarding Nondiscrimination as Specified in Section II.A. of the RFP;

- (b) Connecticut Campaign Contribution and Solicitation Limitations as specified in Section II.B. of the RFP;
- (c) Contractor's Representation regarding Consulting Agreements as specified in Section II.C. of the RFP;
- (d) Contractor's Certification Concerning Gifts as specified in Section II.D. of the RFP;
- (e) MIRA President's Representation Concerning Gifts as specified in Section II.E of the RFP; and
- (f) Contractor's Representation Regarding Iran Energy Investment as specified in Section II.F. of the RFP

12. ATTACHMENTS

The following documents are attached hereto and made a part of this Proposal:

- (a) The Proposer's Proposal Price Form (RFP Attachment E)
- (b) The completed Background Questionnaire that has been completely filled out by the Proposer and signed before a Notary Public or Commissioner of the Superior Court (RFP Attachment F);
- (c) The completed Questionnaire Concerning Affirmative Action, Small Business Contractors And Occupational Health And Safety (RFP Attachment G);
- (d) The Proposer's Cover Letter as specified in Section I.G.2 of the RFP
- (e) The Proposer's Background and Experience Narrative as specified in Section I.G.4 of the RFP
- (f) The Proposer's Project Approach and Schedule as specified in Section I.G.5 of the RFP
- (g) The Proposer's Business Exceptions, if any, as specified in Section I.G.7 of the RFP
- (h) A copy of the Proposer's and each proposed Sub Consultant's up-to-date certificate of insurance showing all coverages required by Article 6 of the Proposed Agreement; and

13. PROPOSER INFORMATION AND NOTICES

The information below represents the Proposer’s entity information as recorded with the applicable Secretary of State. Communications concerning this Proposal should be addressed to Proposer at the address set forth below.

Proposer Entity/Firm Name:		
Federal Employer ID Number (FEIN):		
Central Office/ Headquarters Address:	Address 1:	
	Address 2:	
	City, State, Zip Code:	
Servicing Office Address (if different than Central Office/ Headquarters):	Address 1:	
	Address 2:	
	City, State, Zip Code:	
Name of Parent Company (if any):		
Entity’s Legal Structure:	<input type="checkbox"/> Corporation <input type="checkbox"/> Joint Venture <input type="checkbox"/> Partnership <input type="checkbox"/> Public Entity <input type="checkbox"/> Other	
State in Which Entity is Legally Organized:		
Proposer Contact:		
Title:		
Telephone Number:		
Fax Number:		
E-Mail Address:		

14. ADDITIONAL REPRESENTATION

Proposer hereby represents that the undersigned is duly authorized to submit this Proposal on behalf of Proposer, and to commit the Proposer to all representations and acknowledgements specified herein.

AGREED TO AND SUBMITTED ON _____, 20__

Name of Proposer (Firm):	
Signature of Proposer Representative:	
Name (Typed/Printed):	
Title (Typed/Printed):	

RFP Attachment E - Proposal Price Form

Overview: In the following sections and pages, proposer should specify their price as described in Section I.G.6 of the RFP and in consideration of the Scope of Work specified in Section I.B. of the RFP and Proposer’s proposed project approach specified in Section I.G.5 of the RFP.

Part 1: Initial Task - Reimbursable hourly fees and ancillary services to develop the Salvage Equipment List.

Staff Level	Name and Title	Hourly Rate		
		FY2025 (through 6/30/25)	Optional Extension year 1 (7/1/2025 - 6/30/26)	Optional Extension year 2 (7/1/2026 - 6/30/27)

Ancillary Service	Rate		
	FY2025 (through 6/30/25)	Optional Extension year 1 (7/1/2025 - 6/30/26)	Optional Extension year 2 (7/1/2026 - 6/30/27)
Travel in Firm-Owned Vehicle (Per Mile)	<i>To be billed at IRS standard mileage rates</i>	<i>To be billed at IRS standard mileage rates</i>	<i>To be billed at IRS standard mileage rates</i>
Any Other Services For Which You Routinely Bill (List Below)			

Not-to-exceed price to develop the Salvage Equipment List: \$ _____

Part 2: Proposed monthly management fee for corporate oversight of the project

\$ _____

Part 3: Reimbursable Salary and benefits associated with Proposer’s ongoing on-site personnel

Staff Level	Name and Title	Hourly Rate		
		FY2025 (through 6/30/25)	Optional Extension year 1 (7/1/2025 - 6/30/26)	Optional Extension year 2 (7/1/2026 - 6/30/27)

Ancillary Service	Rate		
	FY2025 (through 6/30/25)	Optional Extension year 1 (7/1/2025 - 6/30/26)	Optional Extension year 2 (7/1/2026 - 6/30/27)
Travel in Firm-Owned Vehicle (Per Mile)	<i>To be billed at IRS standard mileage rates</i>	<i>To be billed at IRS standard mileage rates</i>	<i>To be billed at IRS standard mileage rates</i>

Any Other Services For Which You Routinely Bill (List Below)			

Part 4: Reimbursable hourly fees and reimbursable ancillary costs to develop plans and specifications demolition / disassembly of items included on the Salvage Equipment List (if not included in on-Site personnel reimbursable salary and benefits).

Staff Level	Name and Title	Hourly Rate		
		FY2025 (through 6/30/25)	Optional Extension year 1 (7/1/2025 - 6/30/26)	Optional Extension year 2 (7/1/2026 - 6/30/27)

Ancillary Service	Rate		
	FY2025 (through 6/30/25)	Optional Extension year 1 (7/1/2025 - 6/30/26)	Optional Extension year 2 (7/1/2026 - 6/30/27)
Travel in Firm-Owned Vehicle (Per Mile)	<i>To be billed at IRS standard mileage rates</i>	<i>To be billed at IRS standard mileage rates</i>	<i>To be billed at IRS standard mileage rates</i>
Any Other Services For Which You Routinely Bill (List Below)			

Part 5: Contractor-Procured Services

Note: As specified in Section I.G.6 of the RFP, pricing herein contemplates that demolition / disassembly sub-contracting will be reimbursed at cost without mark-up.

Proposer Name: _____

Signed: _____

Print Name: _____

Date: _____



BACKGROUND QUESTIONNAIRE

This Questionnaire must be completed and properly executed by an individual or business entity submitting a bid/proposal/statement of qualifications to the Materials Innovation and Recycling Authority (such individual or business entity hereinafter referred to as the "Contractor").

Please answer the following questions by placing an "X" in the appropriate box.

	Yes	No
<p>1. Has the Contractor or any of the following ever been the subject of a criminal investigation?</p> <p>(a) A principal of the Contractor; (b) An owner of the Contractor; (c) An officer of the Contractor; (d) A partner in the Contractor; (e) A director of the Contractor; or (f) A stockholder of the Contractor holding 50% or more of the stock of the Contractor.</p> <p><i>If you answered "Yes" to Question 1, proceed to Question 1A and, on a separate sheet of paper, state the following: the court in which the investigation is taking or took place; the approximate date the investigation commenced and, if applicable, concluded; the subject matter of the investigation; and the identity of the person or entity involved.</i></p> <p><i>If you answered "No" to Question 1, proceed to Question 2.</i></p>	<input type="checkbox"/>	<input type="checkbox"/>
<p>1A. Has any indictment arisen out of any such investigation?</p> <p><i>If you answered "Yes" to Question 1A, proceed to Question 1B and, on a separate sheet of paper, state the following: the name of the person or entity indicted; and the status of any such indictment.</i></p> <p><i>If you answered "No" to Question 1A, proceed to Question 2.</i></p>	<input type="checkbox"/>	<input type="checkbox"/>
<p>1B. Has any conviction arisen out of any such indictment?</p> <p><i>If you answered "Yes" to Question 1B, proceed to Question 2 and, on a separate sheet of paper, state the following: the name of the person or entity convicted, the sentence imposed and whether or not an appeal of the conviction is pending.</i></p> <p><i>If you answered "No" to Question 1B, proceed to Question 2.</i></p>	<input type="checkbox"/>	<input type="checkbox"/>

<p>2. Has the Contractor or any of the following ever been the subject of a civil investigation¹?</p> <ul style="list-style-type: none"> (a) A principal of the Contractor; (b) An owner of the Contractor; (c) An officer of the Contractor; (d) A partner in the Contractor; (e) A director of the Contractor; or (f) A stockholder of the Contractor holding 50% or more of the stock of the Contractor. <p><i>If you answered "Yes" to Question 2, proceed to Question 3 and, on a separate sheet of paper, state the following: the court or other forum in which the investigation took or is taking place; the approximate date the investigation commenced and, if applicable, concluded; the subject matter of the investigation; the identity of the person or entity involved; the status of the investigation; and the outcome of the investigation.</i></p> <p><i>If you answered "No" to Question 2, proceed to Question 3.</i></p>	<input type="checkbox"/>	<input type="checkbox"/>
<p>3. Has any entity (e.g., corporation, partnership, etc.) in which any of the following has an ownership interest of 50% or more in such entity ever been the subject of a criminal investigation?</p> <ul style="list-style-type: none"> (a) A principal of the Contractor; (b) An owner of the Contractor; (c) An officer of the Contractor; (d) A partner in the Contractor; (e) A director of the Contractor; or (f) A stockholder of the Contractor. <p><i>If you answered "Yes" to Question 3, proceed to Question 3A and, on a separate sheet of paper, state the following: the court in which the investigation is taking or took place; the approximate date the investigation commenced and, if applicable, concluded; the subject matter of the investigation; and the identity of the person or entity involved.</i></p> <p><i>If you answered "No" to Question 3, proceed to Question 4.</i></p>	<input type="checkbox"/>	<input type="checkbox"/>
<p>3A. Has any indictment arisen out of any such investigation?</p> <p><i>If you answered "Yes" to Question 3A, proceed to Question 3B and, on a separate sheet of paper, state the following: the name of the person or entity indicted; and the status of any such indictment.</i></p> <p><i>If you answered "No" to question 3A, proceed to Question 4.</i></p>	<input type="checkbox"/>	<input type="checkbox"/>
<p>3B. Has any conviction arisen out of any such indictment?</p> <p><i>If you answered "Yes" to Question 3B, proceed to Question 4 and, on a separate sheet of paper, state the following: the name of the person or entity convicted, the sentence imposed and whether or not an appeal of the conviction is pending.</i></p> <p><i>If you answered "No" to Question 3B, proceed to Question 4.</i></p>	<input type="checkbox"/>	<input type="checkbox"/>

¹ The phrase "civil investigation" means an investigation undertaken by a governmental entity (e.g., federal, state or municipal) that has investigative and enforcement authority (e.g., the Office of the Connecticut Attorney General, the Connecticut Ethics Commission, the Connecticut Elections Enforcement Commission, the federal Securities and Exchange Commission).

<p>4. Has any entity (e.g., corporation, partnership, etc.) in which any of the following has an ownership interest of 50% or more in such entity ever been the subject of a civil investigation¹?</p> <p>(a) A principal of the Contractor; (b) An owner of the Contractor; (c) An officer of the Contractor; (d) A partner in the Contractor; (e) A director of the Contractor; or (f) A stockholder of the Contractor.</p> <p><i>If you answered "Yes" to Question 4, proceed to Question 5 and, on a separate sheet of paper state the following: the court in which the investigation is taking or took place; the approximate date the investigation commenced and, if applicable, concluded; the subject matter of the investigation; the identity of the person or entity involved; the status of the investigation; and the outcome of the investigation..</i></p> <p><i>If you answered "No" to question 4, proceed to Question 5.</i></p>	<input type="checkbox"/>	<input type="checkbox"/>
<p>5. Has the Contractor or any of the following ever been debarred from bidding on, or otherwise applying for, any contract with the State of Connecticut or any other governmental authority?</p> <p>(a) A principal of the Contractor; (b) An owner of the Contractor; (c) An officer of the Contractor; (d) A partner in the Contractor; (e) A director of the Contractor; or (f) A stockholder of the Contractor holding 50% or more of the stock of the Contractor.</p> <p><i>If you answered "Yes" to Question 5, proceed to the Certification on the following page and, on a separate sheet of paper please explain.</i></p> <p><i>If you answered "No" to question 5, proceed to the Certification on the following page.</i></p>	<input type="checkbox"/>	<input type="checkbox"/>

CERTIFICATION

Signature: _____

Name (print/type): _____

Title: _____

State Of: _____

County Of: _____

_____, being fully sworn, deposes and says that he/she is the _____ (Title) of _____ (Firm Name), the Contractor herein, that he/she has provided answers to the foregoing questions on the Contractor's background, and, under the penalty of perjury, certifies that each and every answer is true.

Sworn to before me this _____ day of _____ 20 ____

 Notary Public/Commissioner of the Superior Court



QUESTIONNAIRE CONCERNING AFFIRMATIVE ACTION, SMALL BUSINESS CONTRACTORS AND OCCUPATIONAL HEALTH AND SAFETY

Because the MIRA Dissolution Authority is a political subdivision of the State of Connecticut, it is required by various statutes and regulations to obtain background information on prospective contractors prior to entering into a contract. The questions below are designed to assist the MIRA Dissolution Authority in procuring this information. Many of the questions are required to be asked by RCSA 46a-68j-31. For the purposes of this form, "Contractor" means Bidder, Proposer or Statement of Qualifications Submitter, as appropriate.

	Yes	No
1. Is the Contractor an Individual? <i>If you answered "Yes" to Question 1, skip to Question 2.</i> <i>If you answered "No" to Question 1, proceed to Question 1A and then to Question 2.</i>	<input type="checkbox"/>	<input type="checkbox"/>
1A. How many employees does the Contractor have? <input type="text"/>		
2. Is the Contractor a Small Business Enterprise based on the criteria in Schedule A? <i>If you answered "Yes" to Question 2, proceed to Question 2A and then to Question 3.</i> <i>If you answered "No" to Question 2, skip to Question 3.</i>	<input type="checkbox"/>	<input type="checkbox"/>
2A. Is the Contractor certified by DAS as a Small Business Enterprise? ¹	<input type="checkbox"/>	<input type="checkbox"/>
3. Is the Contractor a Minority Owned Business Enterprise based on the criteria in Schedule B? <i>If you answered "Yes" to Question 3, proceed to Question 3A and then to Question 4.</i> <i>If you answered "No" to Question 3, skip to Question 4.</i>	<input type="checkbox"/>	<input type="checkbox"/>
3A. Is the Contractor certified by DAS as a Minority Owned Business Enterprise? ¹	<input type="checkbox"/>	<input type="checkbox"/>
4. Does the Contractor have an Affirmative Action Plan? ² <i>If you answered "Yes" to Question 4, proceed to Question 4A and then to Question 5.</i> <i>If you answered "No" to Question 4, skip to Question 4B and then to Question 5.</i>	<input type="checkbox"/>	<input type="checkbox"/>
4A. Has the Affirmative Action Plan been approved by the CHRO?	<input type="checkbox"/>	<input type="checkbox"/>
4B. Will the Contractor develop and implement an Affirmative Action Plan?	<input type="checkbox"/>	<input type="checkbox"/>
5. Does the Contractor have an apprenticeship program complying with RCSA 46a-68-1 through 46a-68-17?	<input type="checkbox"/>	<input type="checkbox"/>
6. Has the Contractor been cited for three or more willful or serious violations of any occupational safety and health act?	<input type="checkbox"/>	<input type="checkbox"/>
7. Has the Contractor received one or more criminal convictions related to the injury or death of any employee in the three-year period preceding the issuance of this Request For Bids/Proposals/Qualifications?	<input type="checkbox"/>	<input type="checkbox"/>
8. Has the Contractor been the recipient of one or more ethical violations from the State of Connecticut Ethics Commission during the three-year period preceding the issuance of this Request For Bids/Proposals/Qualifications?	<input type="checkbox"/>	<input type="checkbox"/>
9. Will subcontractors be involved? <i>If you answered "Yes" to Question 9, proceed to Question 9A.</i> <i>If you answered "No" to Question 9, you are finished with the questionnaire.</i>	<input type="checkbox"/>	<input type="checkbox"/>
9A. How many subcontractors will be involved? <input type="text"/>		

LIST OF ACRONYMS

RCSA	–	Regulations of Connecticut State Agencies
CHRO	–	State of Connecticut Commission on Human Rights and Opportunities
DAS	–	State of Connecticut Department of Administrative Services

FOOTNOTES

- ¹ If the Contractor answered "yes" to Question 2A and/or 3A, Contractor must attach a copy of its DAS Set-Aside Certificate to this Questionnaire.
- ² If the Contract is a "public works contract" (as defined in Section 46a-68b of the Connecticut General Statutes), the dollar amount exceeds Fifty Thousand Dollars (\$50,000.00) in any fiscal year, and the Contractor has fifty (50) or more employees, the Contractor, in accordance with the provisions of Section 46a-68c of the Connecticut General Statutes, shall develop and file an affirmative action plan with the Connecticut Commission on Human Rights and Opportunities.

**SCHEDULE A
CRITERIA FOR A SMALL BUSINESS ENTERPRISE (SBE)**

Contractor, including a non-profit corporation, must meet all of the following criteria to qualify as a Small Business Enterprise:

1. Maintains its principal place of business in Connecticut. ;
2. Has had gross revenues not exceeding fifteen million dollars (\$15,000,000) during its most recent fiscal year (Contractor shall not be considered a Small Business Enterprise if it is affiliated with another person if both persons considered together have a gross revenue exceeding fifteen million dollars); and
3. Is independent, such that the viability of the Contractor does not depend on another person or company, as determined by an analysis of the Contractor's relationship with any other person or company in regards to the provision of personnel, facilities, equipment, other resources, and financial support, including bonding.

**SCHEDULE B
CRITERIA FOR A MINORITY OWNED BUSINESS ENTERPRISE (MBE)**

Contractor must meet all of the following criteria to qualify as a Minority Owned Business Enterprise:

1. Satisfies all of the criteria in Schedule A for a Small Business Enterprise;
2. At least 51% of the capital stock, if any, or assets are owned by a person or persons who:
 - i. Exercise operational authority over the daily affairs of the enterprise;
 - ii. Have the power to direct the management and policies and receive the beneficial interest of the enterprise;
 - iii. Possess managerial and technical competence and experience directly related to the principal activities of the enterprise; and
 - iv. Are members of a minority as defined in section 32-9n of the Connecticut General Statutes, or are individuals with a disability.

CONNECTICUT GENERAL STATUTES SECTION 46a-68b

As used in this section and sections 4a-60, 4a-60a, 4a-60g, 4a-62, 46a-56 and 46a-68c to 46a-68k, inclusive: "Public works contract" means any agreement between any individual, firm or corporation and the state or any political subdivision of the state other than a municipality for construction, rehabilitation, conversion, extension, demolition or repair of a public building, highway or other changes or improvements in real property, or which is financed in whole or in part by the state, including, but not limited to, matching expenditures, grants, loans, insurance or guarantees.