



ADDENDUM NO. 1
Issued October 19, 2015

TO

REQUEST FOR QUALIFICATIONS
FOR
ECONOMIC ADVISORY AND CONSULTING SERVICES
(RFQ Number 16-FA-001)

Note: Entities submitting a Statement Of Qualifications are required to acknowledge this and all Addenda in Section 5 of the Proposal Form.

1. RESPONSES TO QUESTIONS

This Addendum consists of the Material Innovation and Recycling Authority's (MIRA's) responses to written questions received as of October 14, 2015.

1.	Question	<ul style="list-style-type: none"> My company plans to submit a RFP to DEEP, regarding replacing the old incinerator in Hartford. Would this be a conflict of interest to apply for a consulting job on this site?
	Answer	<ul style="list-style-type: none"> DEEP has issued an RFP related to the redevelopment of MIRA's Connecticut Solid Waste System (the "Resource Rediscovery"). A firm's intent to participate in the Resource Rediscovery would not preclude them from participating in this RFQ for Economic Advisory And Consulting Services. However, if MIRA requires advisory services related to its participation in evaluating the feasibility of responses to DEEP's Resource Rediscovery as required of MIRA by statute, a firm that has submitted a response to DEEP's Resource Rediscovery may be precluded from providing those services to MIRA.
2.	Question	<ul style="list-style-type: none"> Is there a projected award amount, or floor and/or ceiling for awards?
	Answer	<ul style="list-style-type: none"> No.
3.	Question	<ul style="list-style-type: none"> Are multiple awards expected from this solicitation?
	Answer	<ul style="list-style-type: none"> MIRA reserves the right to award agreements to more than one respondent as a result of this RFQ
4.	Question	<ul style="list-style-type: none"> If a firm (the prime) teams-up with subcontractors to submit a Statement of Qualifications to MIRA, which of the 12 SOQ Forms need to be provided by both the prime and subcontractors, and which need to be provided only by the prime
	Answer	<ul style="list-style-type: none"> The SOQ forms only need to be submitted by the prime.
5.	Question	<ul style="list-style-type: none"> Does the Affidavit of 3rd Party Fees correlate to the subcontractor information to be supplied in item #2 of the business information form?
	Answer	<ul style="list-style-type: none"> As specified in the paragraph at the top of the Affidavit of Third Party Fees (Proposal Form 9), Contractor's subcontractors are not considered third parties.
6.	Question	<ul style="list-style-type: none"> Are there incumbent contracts relating to this solicitation or some similar contracts previously issued by CRRA? If so, please identify the incumbent(s) and the category of services for which incumbent provided services.
	Answer	<ul style="list-style-type: none"> As the successor to CRRA, MIRA has agreements for Economic Advisory Services with the following firms that expire February 28, 2016: <ul style="list-style-type: none"> Alternative Resources, Inc. The Connecticut Economic Resource Center, Inc. Environmental Capital, LLC Gershman, Brickner & Bratton, Inc.

7.	Question	<ul style="list-style-type: none"> The RFQ specifically requests consultants to provide, "<i>Industry information, analysis, <u>advice</u> and consulting regarding various solid waste and sustainable-materials-management trends</i>" <p>As you know, the Dodd-Frank Wall Street Reform and Consumer Protection Act created a new category of regulated persons – called municipal advisors – who are required to register with the SEC and MSRB before they perform certain types of services.</p> <p>We are unsure if the contract provides enough clarification to avoid a conflict with Dodd-Frank. Will MIRA consider adding a contract clause to address this potential regulatory issue?</p> <p>For example a clause such as, "<i>Municipal Advisor Rule of the Securities and Exchange Commission: MIRA acknowledges that [Consultant] is not a registered Municipal Advisor; that [Consultant] is not authorized to provide advice with respect to the structure, timing or terms of municipal financial products or the issuance of municipal securities (“Advice”); that [Consultant] is not subject to the fiduciary duty established in the Securities and Exchange Act; and, that [Consultant] will not be obligated to provide Advice unless and until all aspects of the independent registered municipal advisor exemption have been satisfied.</i>"</p>
	Answer	<ul style="list-style-type: none"> As it is contemplated in the RFQ, the three categories of Services (a), (b), and (C) specified in Exhibit A of the Agreement are separate and distinct services. The scope (b) services that you referenced are contemplated as providing economic analysis and advice to assist MIRA in evaluating various options for its customers to sustainably manage their municipal solid waste (and or the portions thereof that can be diverted for recycling, composting or other SMM options). That scope B was not contemplated to be related to financial or investment advisory services. As an example of the type of “advice” contemplated in that scope, it contemplates that the Consultant might have had prior experience in the plastics industry and could provide advice to MIRA based on their prior experience in the industry as to how to best sort or process mixed plastics that MIRA received at its recycling facility in order to maximize the revenue that MIRA received for such materials. <p>MIRA declines to change the proposed language. Note that pursuant to Section 1.1 (e) of the Agreement (Section 4 of the RFP Package Documents), Consultant will be responsible for compliance with any and all laws and regulations in its performance of the Services.</p>

2. UMBRELLA INSURANCE

As per this Addendum 1, the minimum level of Excess/Umbrella Liability specified in Section 5.2(5a) of the Agreement (Section 4 of the RFP Package Documents) is reduced to \$3,000,000 Each Occurrence/Aggregate (from \$5,000,000 Each Occurrence/Aggregate).

- END OF ADDENDUM 1 -